



**BEST EMPLOYERS IN INDIA**  
Consistently in 2007, 2008 & 2011 - Study by Aon Hewitt

19<sup>th</sup> January 2017

<p>The Manager Corporate Relationship, BSE Limited, 1<sup>st</sup> Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.</p>	<p>The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5<sup>th</sup> Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.</p>
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Dear Sir,

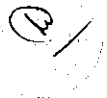
Pursuant to Regulation 31 (1) (b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the shareholding pattern of the Bank for the quarter ended 31<sup>st</sup> December 2016.

Kindly acknowledge receipt.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

*Bina Chandarana*  
**Bina Chandarana**  
**Company Secretary &**  
**Executive Vice President**

Encl : as above.



Format of Holding of Specified securities

1. Name of Listed Entity: KOTAK MAHINDRA BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 500247 / KOTAKBANK / Equity Shares
3. Share Holding Pattern Filed under: Reg. /Reg.31(1)(b)
  - a. if under 31(1)(b) then indicate the report for quarter ending: 31st December 2016
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		No
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c Whether the Listed Entity has any shares against which depository receipts are issued?		No
d Whether the Listed Entity has any shares in locked-in?		No
e Whether any shares held by promoters are pledged or otherwise encumbered?		No

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Note:

Voting rights are governed by Banking Regulation Act, 1949

Table 1 - Summary Statement holding of specified securities as on 31st December 2016

Category	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (VI)+(V)+(IV)	Shareholding as a % of total no of shares (As a % of (A+B+C))	Number of Voting Rights held in each class of securities (A+B+C)			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
							Class X	Class Y	Total				No.	As a % of total Shares held		
(I)	(ii)	(iv)	(v)	(vi)	(vii)	(viii)										
(A) Promoter & Promoter Group	12	618192684	0	0	618192684	33.61	0	618192684	33.61	0	0.00	0	0.00	0	0.00	618192684
(B) Public	157246	1221069133	0	0	1221069133	66.39	0	1221069133	66.39	0	0.00	0	0.00	0	0.00	1221069133
(C) Non Promoter-Non Public Shares underlying DFR	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2) Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total:	157258	1839261817	0	0	1839261817	100.00	0	1839261817	100.00	0	0.00	0	0.00	0	0.00	1839261817

Note: Voting rights are governed by Banking Regulation Act, 1949

(B)

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group as on 31st December 2016

Category	Category & Name of the Shareholder	PAN	No of Shareholders		No of Shares Fully paid up equity shares held	No of Shares paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VIVAVV)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C2)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming for conversion of convertible Securities (as a percentage of diluted share capital) (VII)-(X) as a % of (A+B+C2)	Number of Locked In Shares	As a % of total Shares held	No. of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of equity shares held in dematerialized form
			(A)	(B)						Class X	Class Y								
(1)	Individuals/Hindu Undivided Family				(V)	(VI)	(VII)	(VIII)		(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	(XIX)
(a)	UDAY SURESH KOTAK	AABPK6999F	10	0	617566128	0	617566128	33.58	0	617566128	33.58	0	0	0	0	0	0	0	617566128
(b)	SURESH A KOTAK (HUF)	AAAH593948	1	0	612527100	0	612527100	33.30	0	612527100	33.30	0	0	0	0	0	0	0	612527100
(c)	PALLAVI KOTAK	AABPK6238P	2	0	1100000	0	1100000	0.01	0	1100000	0.01	0	0	0	0	0	0	0	1100000
(d)	SURESH AMRITLAL KOTAK	AABPK6548A	1	0	2000000	0	2000000	0.06	0	2000000	0.06	0	0	0	0	0	0	0	2000000
(e)	INDIRA SURESH KOTAK	AABPK6754A	1	0	2426720	0	2426720	0.13	0	2426720	0.13	0	0	0	0	0	0	0	2426720
(f)	DINKARRAI KALIBARI DESAI	ACFPO2234L	1	0	793508	0	793508	0.04	0	793508	0.04	0	0	0	0	0	0	0	793508
(g)	KUSUM DINKARRAI DESAI	ACFPO2305M	1	0	298260	0	298260	0.02	0	298260	0.02	0	0	0	0	0	0	0	298260
(h)	JANAK DINKARRAI DESAI	ACFPO2366F	1	0	43600	0	43600	0.00	0	43600	0.00	0	0	0	0	0	0	0	43600
(i)	AARTI SURESH KOTAK	ACPKC6743M	1	0	57360	0	57360	0.00	0	57360	0.00	0	0	0	0	0	0	0	57360
(j)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(k)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(l)	Any Other		0	0	624556	0	624556	0.03	0	624556	0.03	0	0	0	0	0	0	0	624556
(m)	KOTAK TRUSTEE COMPANY PVT. LTD. Beneficial Owner	AAATU4409F	2	0	624556	0	624556	0.03	0	624556	0.03	0	0	0	0	0	0	0	624556
(n)	Sub-Total (A)(1)		12	0	618192644	0	618192644	33.61	0	618192644	33.61	0	0	0	0	0	0	0	618192644
(o)	Foreign		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(p)	Individuals (Non-Resident Individuals/Foreign Institutions)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(q)	Government		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(r)	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(s)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(t)	Any Other		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(u)	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0	0	0
(v)	Total Shareholding of Promoter and Promoter Group (A)(1)+(A)(2)		12	0	618192644	0	618192644	33.61	0	618192644	33.61	0	0	0	0	0	0	0	618192644

Note:  
Voting rights are governed by Banking Regulation Act, 1949

Table III - Statement showing shareholding pattern of the Public shareholder as on December 2016

Category	PAN	Name of the Shareholder	No of Shareholders			No of Shares held (NAVIV)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	Are % of total Shares held	Number of Shares pledged or otherwise encumbered	Are % of total Shares held	Number of equity shares held in dematerialized form			
			No of Shares held					Total as a % of (A+B+C)	Clean X	Clean Y								Total	Clean Z	Are % of total Shares held
			(A)	(B)	(C)															
(1)		Institutions	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)				
(a)		Mutual Funds																		
(b)		SEI MUTUAL FUND	31	0	0	9797162	5.43	0	9797162	0	0	0	0	0	0	0				
(c)		Venture Capital Funds	34	0	0	18750618	1.02	0	18750618	0	0	0	0	0	0	0				
(d)		All estate Investment Funds	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(e)		Foreign Venture Capital Investors	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(f)		Foreign Portfolio Investors	422	0	0	677664384	36.84	0	677664384	0	0	0	0	0	0	0				
(g)		EUROPEAN GROWTH FUND	3	0	0	90708514	4.93	0	90708514	0	0	0	0	0	0	0				
(h)		NATIONAL WESTMINSTER BANK PLC AS DEPOSITARY OF	1	0	0	37671319	2.05	0	37671319	0	0	0	0	0	0	0				
(i)		OPPELHARVEST TECHNOLOGY MARKET FUND	1	0	0	25588835	1.39	0	25588835	0	0	0	0	0	0	0				
(j)		WELLS FARGO BANK INC	1	0	0	19792219	1.08	0	19792219	0	0	0	0	0	0	0				
(k)		SAMCO WORLD FUND INC	1	0	0	18627040	1.02	0	18627040	0	0	0	0	0	0	0				
(l)		GLOBAL WORLD GROWTH AND INCOME FUND	1	0	0	20831276	1.13	0	20831276	0	0	0	0	0	0	0				
(m)		CANADA PENSION PLAN INVESTMENT BOARD - MANAGED	1	0	0	105963830	5.76	0	105963830	0	0	0	0	0	0	0				
(n)		Financial Institutions/Banks	54	0	0	3552785	0.19	0	3552785	0	0	0	0	0	0	0				
(o)		Insurance Companies	115	0	0	52419982	2.85	0	52419982	0	0	0	0	0	0	0				
(p)		Any Other	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(q)		Sub Total (B1)	910	0	0	829481413	45.31	0	829481413	0	0	0	0	0	0	0				
(r)		Central Government/State Government/President of India	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(s)		Sub Total (B2)	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(t)		Non institutions	149005	0	0	83124840	4.52	0	83124840	0	0	0	0	0	0	0				
(u)		Individual shareholders holding nominal share capital up to Rs.2 lakhs	359	0	0	110715848	6.02	0	110715848	0	0	0	0	0	0	0				
(v)		ANURADHA MAHINDRA	1	0	0	20201255	1.10	0	20201255	0	0	0	0	0	0	0				
(w)		NRFCs Registered with RBI	13	0	0	37995	0.00	0	37995	0	0	0	0	0	0	0				
(x)		Employee Trusts	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(y)		Any Other	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0				
(z)		Trusts	51	0	0	8804198	0.48	0	8804198	0	0	0	0	0	0	0				
(aa)		ALTERNATIVE INVESTMENT FUND	2	0	0	24445	0.00	0	24445	0	0	0	0	0	0	0				
(ab)		OVERSEAS CORPORATE BODIES	2	0	0	8717360	0.47	0	8717360	0	0	0	0	0	0	0				
(ac)		NON RESIDENT INDIANS	3080	0	0	4787755	0.26	0	4787755	0	0	0	0	0	0	0				
(ad)		CLEARING MEMBERS	144	0	0	1130748	0.06	0	1130748	0	0	0	0	0	0	0				
(ae)		FOREIGN BODIES-DI	2	0	0	173600	0.01	0	173600	0	0	0	0	0	0	0				
(af)		FOREIGN BANK	1	0	0	37800000	1.78	0	37800000	0	0	0	0	0	0	0				
(ag)		SUMITOMO MITSUI BANKING CORPORATION	1	0	0	37800000	1.78	0	37800000	0	0	0	0	0	0	0				
(ah)		NON RESIDENT INDIAN NON REPATRIABLE	892	0	0	2369290	0.13	0	2369290	0	0	0	0	0	0	0				
(ai)		POWER CORPORATES	281	0	0	37789001	2.05	0	37789001	0	0	0	0	0	0	0				
(aj)		FOREIGN CORPORATE BODIES	2	0	0	97166170	5.28	0	97166170	0	0	0	0	0	0	0				
(ak)		CALADUM INVESTMENT PTE LTD	1	0	0	25866992	1.41	0	25866992	0	0	0	0	0	0	0				
(al)		IRG MAURITIUS INVESTMENTS I	1	0	0	71199178	3.87	0	71199178	0	0	0	0	0	0	0				
(am)		FOREIGN NATIONALS	2	0	0	1540	0.00	0	1540	0	0	0	0	0	0	0				
(an)		Sub Total (B3)	156396	0	0	38763720	2.18	0	38763720	0	0	0	0	0	0	0				
(ao)		Total Public Shareholding (B) = (B1)+(B2)+(B3)	157246	0	0	1221069133	66.99	0	1221069133	0	0	0	0	0	0	0				

Note: \* Shareholding under 'Insurance companies' category reflects the shareholding of IRDA regulated insurance companies.

(P)

Table IV - Statement showing shareholding pattern of Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+V)	Shareholding as a % of total (A+B+C)		No of Voting Rights		Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares in dematerialized form
								Class X	Class Y	Total	No.						
(1)	Company/DPA Holder		III	IV	V	VI	VII	0.00	0.00	0	0	0.00	0	0.00	0	0	0
(2)	Employee Benefit Trust Under SEBI (Share Based Employee Benefit) Regulations 2014							0.00	0.00	0	0	0.00	0	0.00	0	0	0
	Total Non-Promoter-Non Public Shareholder (C) = (1)+(2)		0	0	0	0	0	0.00	0.00	0	0	0.00	0	0.00	0	0	0

(2)

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
-	-	0	0
<b>Total:</b>		<b>0</b>	<b>0</b>

35

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares
-	-

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